Stereochemical and mechanistic aspects of dioxygenase-catalysed benzylic hydroxylation of indene and chromane substrates

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Toluene dioxygenase (TDO)-catalysed benzylic hydroxylation of indene substrates (**8**, **16** and **17**), using whole cell cultures of *Pseudomonas putida* UV4, was found to yield inden-1-ol (**14** and **22**) and indan-1-one bioproducts (**15** and **23**). The formation of these bioproducts is consistent with the involvement of carbon-centred radical intermediates. TDO-catalysed oxidation of indenes **8** and **16** also gave *cis*-diols **13** and **18** respectively. TDO and naphthalene dioxygenase (NDO), used as both whole-cell preparations and as purified enzymes, were found to catalyse the benzylic hydroxylation of chromane **30**, deuteriated (\pm)-chromane **30**_D and enantiomers (4*S*)-**30**_D and (4*R*)-**30**_D to yield (4*R*)- and (4*S*)-chroman-4-ols **31/31**_D respectively. The mechanism of benzylic hydroxylation of chromane **30/30**_D involves the stereoselective abstraction of a *pro-R* (with TDO) or a *pro-S* (with NDO) hydrogen atom at C-4 and a marked preference for retention of configuration.

Introduction

Ring hydroxylating dioxygenase enzymes (Rieske non-heme iron oxygenases)¹ catalyse a wide range of stereoselective oxygenations.²⁻⁵ These include monooxygenation (*e.g.* benzylic hydroxylation ⁶⁻¹¹ or sulfoxidation ¹²⁻¹⁵), dioxygenation (*e.g.* cisdihydroxylation ^{3,4} or bis-benzylic hydroxylation ¹⁰), trioxygenation (*e.g.* benzylic hydroxylation/cis-dihydroxylation ^{11,16,17} or cis-dihydroxylation/sulfoxidation ^{15,18}) and tetraoxygenation (*e.g.* bis-cis-dihydroxylation ^{19,20}).

The mechanism of any of these dioxygenase-catalysed oxygenations remains to be elucidated. However, it is known that aromatic ring hydroxylating dioxygenase systems generally contain two or three components. In naphthalene dioxygenase (NDO, E.C.1.14.12) these are: (i) an iron-sulfur flavoprotein reductase, (ii) a Rieske [2Fe-2S] ferredoxin involved in electron transfer from NADP(H) and (iii) a catalytic oxygenase component with a mononuclear iron site. 1 Recent X-ray crystallographic studies of NDO have greatly increased our understanding of the structure of its catalytic domain.21,22 The active site of NDO was found to contain several hydrophobic amino acids in a relatively flat and elongated cavity capable of accepting aromatic substrates. An X-ray crystal structure of NDO has also shown indole 1, an aromatic substrate, bound at the active site as an Fe(III) peroxo species 2 linked at C-3, that may be an intermediate in the oxidation of indole 1 to indigo 5 (Scheme 1). The product, released from the binding site, is assumed to be the transient cis/trans-1,2-dihydrodiol metabolite of indole, $3_{cis}/3_{trans}$, that spontaneously dehydrates yielding indoxyl 4 and the autoxidation product indigo 5.

Structures similar to the Fe(III) peroxo species **2** or involving an Fe(III)OOH species have been postulated as intermediates in the mechanism of mono- and di-oxygenation reactions of comparable substrates catalysed by dioxygenases.²¹⁻²³ Biotransformation studies of the indole isosteres, benzo[b]thiophene **6**, benzo[b]furan **7** and indene **8**, have been carried out in our laboratories.^{6,24-27} In contrast with the transient equilibrating *cis/trans* diol metabolites of indole, **3**_{ci}/**3**_{trans} shown in Scheme 1,

the corresponding heterocyclic dihydrodiols, $9_{cis}/9_{trans}$ and $10_{cis}/10_{trans}$, proved to be sufficiently stable to isolate and assign stereochemically. The carbocyclic cis-dihydrodiols 11 and 12, derived from benzo[b]thiophene 26 and benzo[b]furan 27 respectively, and ring opened products from dihydrodiols, $9_{cis}/10_{trans}$, were also isolated. Furthermore, dioxygenase-catalysed sulfoxidation was observed in benzo[b]thiophene substrates, yielding their sulfoxides and the derived cycloadducts. 26,27 Thus for mechanism studies, the indenes 8, 16 and 17 were considered as simpler models for the dioxygenase-catalysed oxidation of indole 1.

Scheme 3

Results and discussion

Initial studies with indene 8 were carried out using whole cell mutant strains of bacteria containing TDO (*P. putida* 39/D⁶ and *P. putida* UV4^{7,25}). The *cis*-dihydrodiol dehydrogenase enzyme, responsible for the desaturation of the substituted benzene *cis*-dihydrodiol metabolites to the corresponding catechols, was blocked in the UV4 and 39/D strains thus allowing the *cis*-dihydrodiol metabolites to accumulate. With indene substrate 8, both strains were found to yield *cis*-1,2-dihydroxyindane 13 (*cis*-dihydroxylation product), inden-1-ol 14 (benzylic hydroxylation product) and indan-1-one 15 (rearrangement product of inden-1-ol 14) as major bioproducts (Scheme 2).

The earlier report⁶ on the metabolism of [3-²H]- or [3-D]-indene $\mathbf{8}_{\mathrm{D}}$ using TDO (*P. putida* F 39/D) showed that (-)-*cis*-diol $\mathbf{13}_{\mathrm{D}}$ was formed with an enantiomeric excess (ee) favouring the (1*S*,2*R*) configuration (30% ee) (Scheme 3). Diol $\mathbf{13}_{\mathrm{D}}$ also had the ²H atom located exclusively at the C-1 position while the (+)-(1*S*)-inden-1-ol (26% ee) showed the ²H atom to be distributed between positions C-3 (60% ²H, $\mathbf{14}_{\mathrm{D}}$) and C-1 (40% ²H, $\mathbf{14}'_{\mathrm{D}}$). A comparative study, again with [3-²H]-indene **8** and TDO (whole cells of *P. putida* UV4), gave comparable results. Thus, the ²H atom was found only at C-1 in (-)-(1*S*,2*R*)-diol $\mathbf{13}_{\mathrm{D}}$ (38% ee) while inden-1-ol showed the ²H atom to be located at positions C-3 (43% ²H, $\mathbf{14}_{\mathrm{D}}$) and C-1 (57% ²H, $\mathbf{14'}_{\mathrm{D}}$) by ¹H-NMR analysis. A preference for the opposite (-)-(1*R*) configuration of inden-1-ol $\mathbf{14}_{\mathrm{D}}/\mathbf{14'}_{\mathrm{D}}$ (28% ee) was, however, observed using *P. putida* UV4. Earlier studies with unlabelled

indene 8 and this strain had shown that (–)-(1R)-inden-1-ol 14 was enantiopure (> 98% ee). This change in ee value may have been due to asymmetric destruction of one enantiomer of inden-1-ol and/or a primary kinetic isotope effect. Stereoselective removal of one enantiomer of indan-1-ol had been observed earlier ¹⁰ with P. putida UV4. Spontaneous rearrangement of the ²H-labelled samples of inden-1-ol 14 $_{\rm D}$ and 14 $'_{\rm D}$ gave both labelled [3-²H] and unlabelled forms of indan-1-one 15 (cf. Scheme 2). The equilibration of the ²H atom between the C-1 and C-3 positions in indene $8_{\rm D}$ is evident from inden-1-ol metabolites $14_{\rm D}/14'_{\rm D}$ found using UV4 or 39/D mutant strains of P. putida. This is consistent with the intermediacy of a stabilised allylic/benzylic carbon-centred radical $8_{\rm D}$. (Scheme 3).

Further evidence for the involvement of delocalised carboncentred radicals similar to 8° during TDO-catalysed benzylic hydroxylation of 5-bromoindene 16 and 6-bromoindene 17 substrates was sought (Scheme 2). The possible indane cis-diol (13,18,21), inden-1-ol (14,19,22) and indan-1-one (15,20,23) metabolites, from the corresponding indene substrates (8,16,17), are shown in Scheme 2. In practice, the (+)-(1R,2S)dihydrodiol metabolite 18 (46% ee) was isolated from 5-bromoindene 16 as the major (42% yield) metabolite (Scheme 4). This result is comparable to that found earlier using indene substrate 8_D where cis-1,2-dihydroxyindane 13_D of opposite configuration (1S,2R, 38% ee) was obtained. The (1R,2S)absolute configuration of cis-diol 18 was determined by circular dichroism (CD) comparison with (1S,2R)-indene cis-diol metabolite 13 where the strongest CD absorption peaks (196-203 nm) were of opposite signs. The ee value of cis-diol 18 was

estimated by ¹H-NMR analysis of the (-)-(S)-[2-(1'-methoxy-ethyl)benzene]boronic acid (MEBBA) derivative.²⁸

A minor (4% yield) metabolite from 5-bromoindene 16 was identified as 6-bromoindan-1-one 23; it was assumed to have been obtained by spontaneous rearrangement of the relatively unstable 6-bromoinden-1-ol intermediate 22 (Scheme 4). This isolation of metabolite 23 is significant since it provides further evidence of an equilibrating allylic/benzylic radical (24'). In this case abstraction of a hydrogen atom from C-1 in 5-bromoindene 16 was followed by double bond migration and hydroxylation at the original C-3 position; no evidence of either inden-1-ol 19 or ketone 20 was found.

Biotransformation of 6-bromoindene 17 using P. putida UV4 involved benzylic hydroxylation with an exclusive preference for oxidation at the C-1 position to yield 6-bromoinden-1-ol 22 (16% yield). The derived 6-bromoindan-1-one 23 (28% yield) was also isolated; no evidence was found of the dihydroxylation product, cis-diol 21. The observed benzylic hydroxylation of both 5-bromoindene 16 and 6-bromoindene 17 to yield the same indan-1-one product 23 appears to be the result of one of the resonance forms of the allylic/benzylic radical 24' being preferred for hydroxyl-group-transfer at the active site. The absolute configuration of 6-bromoinden-1-ol 22 was determined as (1S) by comparison of its CD spectrum with (-)-inden-1-ol 14 (from indene 8 with P. putida UV4), which had the opposite (1R) absolute configuration. Benzylic alcohol 22 was found to have an ee value of > 98% by chiral stationary phase high-pressure liquid chromatography (CSPHPLC). The examination of relative contributions of enzyme-catalysed asymmetric synthesis, or stereoselective removal of one enantiomer, on the absolute configurations and ee values of cis-diol 18 and inden-1-ol 22 was not carried out.

The results obtained using TDO (*P. putida* UV4) as biocatalyst with [3-²H]-indene **8**, 5-bromoindene **16** and 6-bromoindene **17** are compatible with the initial formation of equilibrating radical intermediates (**8** or **24**) shown in Schemes 3 and 4. The hydrogen-atom-abstraction process is facilitated by the relatively weak allylic/benzylic C–H bond in substrates **8**, **16** and **17** and by the resulting delocalisation of a single electron between C-1 and C-3 in intermediate **8** or **24** . It is evident that the precise regioselectivity of dioxygenase-catalysed oxidation at the indene ring would be determined by orientation of the substrate within the active site; this would, in turn, depend upon the relative positions of the indene substituent and the radical intermediate.

Earlier studies of the metabolism of indane **25** (R = H),^{67,29} 2-substituted indanes **25** (R = Cl, Br, I),¹⁰ and indanone substrates ³⁰ also lend support to the concept of stereoselective TDO- and NDO-catalysed monohydroxylation *via* a benzylic radical *e.g.* **26** (Scheme 5). The biotransformation pathways (*P. putida* UV4) of indanes **25** (R = H, Cl, Br, I) include benzylic mono-hydroxylation to yield monols **27** (R = H, Cl, Br) and **28** (R = Cl) and benzylic bis-hydroxylation to yield 1,3-diols **29** (R = H, Cl, Br). The enantiopure indan-1-ol products **27–29** obtained had identical configurations at the benzylic stereogenic centres (Scheme 5), and could have resulted from either asymmetric oxidation or stereoselective asymmetric

destruction.¹⁰ The configuration of the minor metabolite (+)-28 (R = Cl) shown in Scheme 5 was earlier ¹⁰ incorrectly assigned as (1R,2R) instead of (1S,2S).

Biotransformation of 2-iodoindane 25 (R = I) was of particular interest in the context of radical intermediate formation during dioxygenase-catalysed benzylic hydroxylation; inden-1ol 14 (13% yield) and cis-diol 13 (20% yield) were obtained along with traces (< 1% yield) of the anticipated benzylic hydroxylation products 27 and 29 (R = I). The former two major metabolites were structurally and stereochemically indistinguishable from the metabolites of indene 8 (Scheme 2); their formation can be explained by the initial generation of a benzylic radical 26° followed by the loss of an iodine atom (Scheme 5). This rapid homolytic cleavage of an iodine atom β to a carbon-centred radical intermediate, e.g. 26', is well precedented. Thus, the rate of homolysis of an iodoethyl radical to yield propene is 700 times faster than the ring opening of the ethylcyclopropyl radical to yield the methylallyl radical, a favoured process widely used to detect radicals.31

The instability of inden-1-ol bioproducts, **14** and **22**, obtained from indenes **8** and **17** respectively (Schemes 2 and 4), and the range of oxidation products from indanes **25** (R = H, Cl, Br and I, Scheme 5), rendered them less desirable substrates for dioxygenase mechanism studies. 1,2-Dihydrobenzo[*b*]-thiophene and 1,2-dihydrobenzo[*b*]furan have bicyclic structures similar to indenes, and contain only one benzylic site with a heteroatom at the other benzylic position. However, with TDO (*P. putida* UV4) as biocatalyst, benzylic hydroxylation, sulfoxidation, desaturation and *cis*-dihydroxylation reactions occur and hence a mixture of bioproducts was also found with these substrates. ^{26,27,32}

Chromane 30 was next adopted as a model for further mechanism studies, initially using whole cells of P. putida UV4. Chroman-4-ol 31 (13% yield) and chroman-4-one 32 (5%) were isolated as the sole metabolites (Scheme 6, a). The more polar alcohol 31, isolated by PLC (R_f 0.28, 50% Et₂O in hexane), was found to have a large excess of the (4R) enantiomer (95% ee) by CSPHPLC analysis. While this ee value could be due to selective substitution of the pro-R benzylic hydrogen atom during hydroxylation, the possible role of stereoselective destruction of one enantiomer (kinetic resolution) occurring during further oxidation to ketone in P. putida UV4 must also be considered. This process was indeed observed using racemic chroman-4-ol 31 as substrate. Chroman-4-one 32 was formed (18% yield) and the residual alcohol 31 (21% recovered yield) was found to have an excess of the (4R) enantiomer (84% ee). Kinetic resolution involving the selective oxidation of the (4S) enantiomer of alcohol 31 to yield ketone 32 is assumed to have arisen from an alcohol dehydrogenase enzyme present in whole cells of P. putida UV4. Since the (4R) enantiomer of chroman-4-ol

Scheme 8

31 was preferentially formed, using either chromane 30 or racemic chroman-4-ol 31 as substrates, the relative contributions of asymmetric synthesis and kinetic resolution during the whole cell biotransformation could not be estimated.

Racemic and enantiopure forms of 4-deuteriated chromane 30_p were required as substrates to investigate further aspects of the dioxygenase-catalysed benzylic hydroxylation mechanism (Scheme 6). These were synthesised by LiAl²H₄ reduction of racemic, (-)-(3R,4S)- and (+)-(3S,4R)-3,4-epoxychromane 34 to yield chroman-3-ol 35_D (Scheme 7). Reaction of alcohol 35_D with p-toluenesulfonyl chloride to yield the tosylate $36_{\rm p}$ and reductive cleavage with LiAlH₄ gave the mono-deuteriated racemic, (4S)- and (4R)-forms of chromane 30_D . The 3,4-epoxychromane enantiomers (3R,4S)-34 $([a]_D$ -71) and (3S,4R)-34 $([a]_D + 69)$, obtained earlier from their 3-bromo-4-methoxy-(phenyl)trifluoromethylacetate (bromo-MTPA) esters (3S,4S)-33 and (3R,4R)-33, 33 gave the corresponding [4-2H]-chromane enantiomers (4S)-30_D $([a]_D - 1.7 \pm 0.5)$ and (4R)-30_D $([a]_D + 1.0)$ ± 0.5) respectively. Enantiocomplementary CD spectra with maximum absorption at ca. λ 228 nm were also obtained for each enantiomer.

Chromane enantiomers (4S)- 30_D and (4R)- 30_D were used, as substrates, to address the stereochemical/mechanistic question of whether dioxygenase-catalysed benzylic hydroxylation occurs with retention or inversion of configuration (Schemes 6 and 8). Biotransformation (*P. putida* UV4) of racemic [4-²H]-chromane (4R/4S)- 30_D (Scheme 6, b) gave enantiopure deuteriated (4R)-chroman-4-ol 31_D (7% yield) and non-deuteriated (4R)-chroman-4-ol 31 (4% yield) and chroman-4-one 32 (7% yield). The slight excess of deuteriated (4R) enantiomer 31_D

over non-deuteriated (4*R*)-chroman-4-ol 31 may be due to a primary kinetic isotope effect operating during the enzyme-catalysed hydroxylation and/or ketone formation. The presence of deuterium at a benzylic position had earlier been found to exert a marked influence on the ratio of bioproducts obtained from TDO-catalysed (*P. putida* UV4) monohydroxylation of benzyl cyanide and was attributed to a primary kinetic isotope effect.¹¹

Addition of (-)-(4S)-chromane 30_p to whole cells of P. putida UV4 gave (+)-(4R)-chroman-4-ol 31_p (14% yield, > 98% ee) with the deuterium atom retained at C-4 (> 98% ²H, by ¹H- and ²H-NMR analysis) and 4-chromanone **32** (9% yield) (Scheme 6, c). Similarly (+)-(4R)-chromane 30_D gave (+)-(4R)chroman-4-ol 31 (> 98% ee and 14% yield) with almost total removal of the benzylic ²H atom (< 2% ²H by ¹H- and ²H-NMR analysis) and chroman-4-one 32 (9% yield) (Scheme 6, d). These results are consistent with a mechanism involving the benzylic hydroxylation of chromane 30 through exclusive abstraction of the pro-R benzylic hydrogen atom followed by complete retention of configuration during insertion of the hydroxyl group. However, if the formation and subsequent stereoselective loss of the (-)-(4S)-enantiomer of alcohol 31 were to occur, it would challenge this explanation since chroman-4-one 32 was obtained as a significant metabolite (9-14% yield). In order to reduce the role of further oxidation of chroman-4-ol 31 to chroman-4-one 32, biotransformations with purified TDO (P. putida 39/D) were carried out on substrates (-)-(4S)chromane $30_{\rm D}$ and (+)-(4R)-chromane $30_{\rm D}$ (Scheme 8).

As anticipated, with a purified form of TDO, benzylic hydroxylation of racemic (4S/4R)-, and enantiopure (4S)- and

Table 1 Relative ratio of (4R)-chroman-4-ol $31/31_D$ and chroman-2-ol 37_D hydroxylation products from pure TDO- and NDO-catalysed hydroxylation of chromane 30_D

Substrate 30 _D	Enzyme	$\frac{(4R)\text{-Chroman-4-ol}}{31/31_{\text{D}}}$ $\frac{R \text{ or } S, \% \text{ ee})$	Chroman-2-ol 37 _D
(S) - 30_D	TDO	100 (R, 68)	
		$100 (R, 75)^a$	
(R)-30 _D	TDO	100(R, 47)	
		$100 (R, 65)^a$	
$(R/S)-30_{\rm D}$	NDO	$51(S, 92)^b$	49 ^b
(S)-30 _D	NDO	$46(S, 88)^b$	54 ^b
		$48(S, 80)^c$	52 a
(R)-30 _D	NDO	$55(S, 99)^b$	45 ^b
		48 (S, 96) ^c	52

^a Repeat experiment using a larger quantity of substrate 30_D. ^b Accompanied by a minor amount (3−9%) of 2,3-dihydroxychromane 40/40_D. ^c Repeat experiment using a larger quantity of substrate and with little evidence of 2,3-dihydroxychromane 40/40_D formation.

(4R)-chromane $30_{\rm p}$ was observed without evidence of further oxidation to ketone 32 (Scheme 8, Table 1). In all cases chroman-4-ol 31 or 31_p was enriched (47-75% ee) in the (+)-(4R) enantiomer based on CSPHPLC analysis. This observation confirmed that a significant proportion of the ee was due to a TDO-catalysed asymmetric hydroxylation process. GC-MS analysis of the individual (4R)- and (4S)-enantiomers of chroman-4-ol 31_D, obtained from substrate (-)-(4S)-chromane 30_D (separated by CSPHPLC), showed that the ²H atom at C-4 was retained (> 90% ²H) in each enantiomer. Conversely when (+)-(4R)-chromane 30_D was added as substrate to purified TDO, the resulting (4R)- and (4S)-enantiomers of chroman-4ol 31 showed loss of the ²H-atom at C-4 (> 90% H). The experimental results obtained using purified TDO (Scheme 8) with (-)-(4S)-chromane 30_D and (+)-(4R)-chromane 30_D confirm the earlier observation using P. putida UV4 whole cells (Scheme 6), i.e. the pro-R benzylic hydrogen atom is cleaved in a highly stereoselective manner. The foregoing results support the premise that the formation of benzylic hydroxylation bioproduct with a strong preference (74–87%) for the (R) enantiomer means that the hydroxylation has largely occurred with the retention of configuration. A summary of results obtained using pure TDO with racemic and enantiopure forms of chromane $30_{\rm p}$ is presented in Table 1.

A whole cell biotransformation (P. putida 9816/11 containing NDO) of racemic substrate [4-2H]-chromane 30_D was also carried out (Scheme 9). This NDO-catalysed hydroxylation was mainly found to occur at C-4 to give (4S)-chroman-4-ol 31/31_D (40% relative yield) and chroman-4-one 32 (53% relative yield) metabolites based on GC-MS and ¹H-NMR analysis. (4S)-Chroman-4-ol $31/31_{\rm p}$ was found to have lost ca. 75% of the original deuterium atom. It could be accounted for by a metabolic sequence involving: (i) direct enzyme-catalysed hydroxylation of [4-2H]-chromane 30_D to (4S)-chroman-4-ol 31/31_p; (ii) oxidation of a portion of chroman-4-ol 31/31_p to chroman-4-one 32; and (iii) reduction of chroman-4-one 32 to yield unlabelled chroman-4-ol 31. The relatively low ee value found for chroman-4-ol $31/31_{\rm D}$ (24% compared with > 90% ee obtained later using the purified NDO, Table 1) is consistent with its reformation by reduction of chroman-4-one 32. A minor metabolite of chromane 30_D (7% relative yield) showed similar GC-MS characteristics to an authentic sample of cis-3,4-dihydroxychromane $40/40_D$. A significant proportion of the original deuterium atom present in [4-2H]-chromane 30_D was found to be retained at C-4 in cis-3,4-dihydroxychromane 40_D (64% ²H). Direct spectral comparison with an authentic sample of chroman-2-ol 37_D indicated that it was not present among the monohydroxylated bioproducts.

NDO
$$O_2$$

NDO O_2

NDO O_2

R/S-30_D

NDO O_2

Scheme 9

Finally, a comparative study was conducted using purified NDO with racemic and enantiopure (4R)- and (4S)-chromane substrates 30_D (Scheme 8). GC-MS analysis indicated that in each case chroman-4-ol 31 or 31_D was formed as a major metabolite (46-55% relative yield, Table 1). As expected from other benzylic hydroxylations catalysed by NDO,^{5,34} a marked preference (> 80%) was found for the (S)-configuration of chroman-4-ol $31/31_D$. The absolute configurations of the major chroman-4-ol enantiomers $31/31_D$ formed with enzymes TDO (R) and NDO (S)⁵⁻¹¹ were found to be enantiocomplementary.

While a single bioproduct, chroman-4-ol 31/31_p, was obtained with pure TDO, the use of pure NDO resulted in the formation of an additional monohydroxylation product; it was identified as chroman-2-ol 37_p (45-54% relative yield) by GC-MS comparison with an authentic sample (Scheme 9). A precedent for NDO-catalysed hydroxylation on a carbon adjacent to an oxygen atom was observed during earlier studies with methoxybenzene and ethoxybenzene substrates. Dealkylation of the latter substrates was found as a result of the initial hydroxylation of the activated methyl and methylene groups adjacent to the oxygen atom.34 A third metabolite, cis-3,4-dihydroxychromane $40/40_{\rm p}$ (< 10% relative yield), was also detected by GC-MS analysis using pure NDO. This NDO bioproduct had been found earlier in the whole cell study (P. putida 9816/11). A major proportion of the original deuterium at C-4 $(73\% ^{2}\text{H from } (4R)\text{- and } 72\% ^{2}\text{H from } (4S)\text{-chromane } 30_{D})$ was retained in cis-3,4-dihydroxychromane $40/40_D$. The formation of cis-diol 40/40_p from chromane 30_p using both whole cells and purified NDO could be accounted for by NDO-catalysed desaturation to yield chromene 39/39_D followed by cis-dihydroxylation (Scheme 9). The retention of a similar major proportion of deuterium at C-4 from both (4R)- and (4S)chromane 30_p substrates during this desaturation process was unexpected. The mechanism may involve a kinetic isotope effect rather than stereoselective removal of one benzylic hydrogen atom. The NDO enzyme system has previously been found to catalyse the desaturation of indane 25 (R = H) to yield indene 8.5 conversion of chromane $30_{\rm D}$ to chromene $39/39_{\rm D}$ may have occurred via a similar mechanism.

When (4*S*)-chromane $30_{\rm D}$ was used as substrate with purified NDO (Scheme 8), the deuterium atom from the upper face was selectively removed (> 90% ²H loss, equivalent to the benzylic *pro-S* hydrogen atom at C-4 in chromane 30, Scheme 6). Addition of the hydroxyl radical was again found to occur mainly (> 90%) from the upper face to yield (4*S*)-chroman-4-ol 31/31_D (80–88% ee, Table 1). Use of (4*R*)-chromane 30_D led to

Scheme 10

preferential cleavage of the benzylic hydrogen atom from the upper face (> 90% ²H retention) and hydroxyl radical addition almost exclusively (> 98%) from the same face to yield (4*S*)-chroman-4-ol $31/31_{\rm p}$ (96–99% ee).

Conclusion

The TDO-catalysed formation of benzylic monohydroxylation products 14 and 22, using whole cells of P. putida UV4 and indene substrates 8 and 17 respectively, is consistent with the intermediacy of delocalised allylic/benzylic radicals. Using TDO and NDO from both whole cell and purified enzyme sources, benzylic hydroxylation of chromane 30 to yield chroman-4-ol 31 was found to occur mainly with retention of configuration (74-87% R with TDO and 90-100% S with NDO). This was accompanied by an initial stereospecific abstraction at a benzylic C-H bond favouring either the pro-R (TDO) or pro-S (NDO) hydrogen atom. A similar result (72–78% retention of configuration) was recently obtained during the monohydroxylation of specifically labelled ethane and butane substrates using methane monooxygenase containing a diiron centre.³⁵ NDO-catalysed oxidation of chromane 30 also resulted in non-benzylic hydroxylation to yield chroman-2-ol 37 and via desaturation/cis-dihydroxylation to yield cis-3,4-dihydroxychromane 40.

The mechanism of dihydroxylation, the nature of the active oxygen species, and the intermediates in the catalytic cycle are still unknown for the *cis*-dihydroxylating dioxygenases. One of the earliest mechanism studies confirmed that both atoms from ¹⁸O₂ were incorporated during the *cis*-dihydroxylation of arenes. ⁴⁴ The involvement of Rieske [2Fe–2S] clusters in catalysis implies that one-electron transfers are involved, which has been demonstrated experimentally by single turnover studies on NDO. ⁴⁵ The isolation of monohydroxylated products, reported previously ⁶⁻¹¹ and in this work, implies that the dihydroxylation reaction occurs by stepwise insertion of two oxygen atoms.

The hydroxylation reactions catalysed by cytochrome P-450 monooxygenases 46 and α -ketoglutarate-dependent dioxygenases 47 proceed via retention of configuration, as has been observed in this study. For P-450 monooxygenases 46 and α -ketoglutarate-dependent dioxygenases, 47,48 a high-valent iron—oxo species has been proposed as the active hydroxylating agent. Thus, a possible explanation of the observed data is the intermediacy of an iron—oxo species during cis-diol formation (e,g). Scheme 1).

Recent work on site-directed mutants of cytochrome P-450 monooxygenase $\Delta 2B4$ have led Vaz *et al.* to propose that an

iron(III) hydroperoxide intermediate could function as an electrophilic oxidant for P-450-catalysed epoxidation and hydroxylation. ^{49,50} Therefore, a second alternative is that the initial oxidant in the *cis*-dihydroxylation mechanism might be an electrophilic iron hydroperoxide species. This hypothesis is supported by the observation of an indole hydroperoxide in the active site of naphthalene dioxygenase by X-ray crystallography. ⁵¹ The interpretation of the single turnover experiments, ⁴⁵ and recent results showing that hydrogen peroxide can replace the requirement for oxygen and a reduced Rieske [2Fe–2S] cluster, ⁵² also suggest the formation of a hydroperoxo intermediate.

The observed monohydroxylations can be explained by reaction of a carbon-centred radical with an activated oxygen species, which might be either a high-valent iron—oxo intermediate (Scheme 10, **A**), or an iron hydroperoxide species (Scheme 10, **B**), which presumably is an intermediate in the *cis*-dihydroxylation reaction. Recent crystallographic studies with NDO have identified oxygen bound in a side-on position near iron, as found in a cyclic peroxide of the type shown in Scheme 10B.⁵³ This species could be readily converted *via* a one electron reduction process into its more reactive hydroperoxide. Either species could be responsible for the monohydroxylation shown in Scheme 10B and for the diverse range of mono- and poly-oxygenation reactions catalysed by the Rieske dioxygenases.

Experimental

¹H-NMR spectra were recorded using Bruker Avance DPX-300 and DPX-500 instruments and ²H-NMR spectra using a 400 MHz Bruker WP400 instrument. Optical rotation ($[a]_D$) measurements were carried out with a Perkin-Elmer 214 polarimeter at ambient temperature (ca. 20 °C) and are given in units of 10^{-1} deg cm² g⁻¹. Enantiopurity was determined by CSPH-PLC using specified Chiralcel columns and hexane–2-propanol (9:1) as eluent at a flow rate of 0.5 cm³ min⁻¹. CD spectra were recorded using a Jasco J-720 instrument and acetonitrile as solvent.

High resolution MS were recorded at 70 eV on a VG Autospec mass spectrometer using a heated inlet system. Accurate MW values were determined by the peak matching method with perfluorokerosene as standard. GC-MS analysis of the concentrated crude EtOAc extract, obtained from metabolism of chromane 30_p using *P. putida* 9816/11, was carried out using a Hewlett Packard 6890 gas chromatograph directly attached to a Hewlett Packard model 5973 Mass

Selective Detector. The GC oven was fitted with a wall-coated open-tubular capillary column (12 m × 0.2 mm) with 100% dimethyl polysiloxane (0.33 µm) as the bonded phase. The injector port was held at 250 °C and the oven was programmed at 30 °C for 1 min and then ramped to 300 °C at 10 °C min⁻¹. The products obtained using chromane 30_D as the substrate for the purified TDO and NDO enzymes were analysed by GC-MS using a Hewlett Packard model 5890 gas chromatograph equipped with an HP Ultra-1 capillary column (25 m × 0.2 mm, 0.33 µm film thickness). The column temperature was programmed from 70-240 °C at 10 °C min⁻¹ with a helium flow of 25 cm³ s⁻¹. Temperatures of the injection port and transfer line were 220 and 280 °C, respectively. Samples (1 µl) were injected at a split ratio of 50: 1 and MS were obtained using an HP model 5970 Mass Selective Detector with electron impact ionization (70 eV).

Substrates were metabolized (18 h unless otherwise mentioned) using whole cell cultures of the mutant strain *Pseudomonas putida* UV4¹⁵ and *Pseudomonas putida* 9816/11²⁹ under conditions reported earlier. The bioproducts were generally harvested by repeated solvent extraction (EtOAc) of the sodium chloride-saturated aqueous solution containing the biotransformed material, followed by concentration of the combined organic extracts under reduced pressure. ¹H NMR spectra of the crude mixture of bioproducts obtained from each biotransformation were routinely examined prior to any purification.

Indan-1-one **15**, chroman-4-ol **31** and 5-bromoindan-1-one **20** were available commercially. Samples of chroman-2-ol **37** and cis-3,4-dihydroxychromane **40** were available from earlier studies.³³ (-)-(3R,4S)- and (+)-(3S,4R)-3,4-Epoxychromane **34** were prepared from the corresponding bromo-MTPA diastereoisomers (3S,4S)- and (3R,4R)-trans-3-bromo-4-[methoxy(phenyl)trifluoromethylacetoxy]chromane **33** by the reported procedure.³³

Synthesis of indene substrates 8, 16 and 17

[3- 2 H]-indene 8. Sodium borodeuteride reduction of indan-lone 15 to yield [1- 2 H]-indan-1-ol followed by acid-catalysed dehydration yielded [3- 2 H]-indene 8 (45% overall yield) using the literature method. 6 1 H-NMR and MS analysis showed that [3- 2 H]-indene 8 had a deuterium atom incorporated (> 98% 2 H) only at the C-3 position.

6-Bromoindan-1-one 23. 6-Bromoindan-1-one **23** (4.7 g, 44%) was obtained by the literature procedure,³⁶ mp 106–107 °C (hexane) (lit.,³⁶ 108–109 °C); $\delta_{\rm H}(300~{\rm MHz,CDCl_3})$ 2.72 (2 H, t, $J_{2,3}$ 5.8, 2-H), 3.10 (2 H, t, $J_{3,2}$ 5.8, 3-H), 7.37 (1 H, d, $J_{4,5}$ 8.1, 4-H), 7.68 (1 H, d, $J_{5,4}$ 8.1, $J_{5,7}$ 1.7, 5-H), 7.87 (1 H, d, $J_{7,5}$ 1.7, 7-H).

Synthesis of 5-bromoindan-1-ol and 6-bromoindan-1-ol

Sodium borohydride (50 mmol) was added portion-wise (0.5 h) to a solution of indanone (**20** or **23**, 25 mmol) in MeOH (50 cm³) at 0 °C. The solution was stirred at room temperature for a further 2 h and the solvent removed under reduced pressure. The residue was extracted into CH_2Cl_2 (3 × 50 cm³), the extract dried (MgSO₄) and the solvent evaporated off to yield the crude indanol.

5-Bromoindan-1-ol. White crystals (4.8 g, 90%); mp 77–79 °C (from hexane) (Found: C, 50.7; H, 4.1. C₉H₉OBr requires C, 50.7; H, 4.3%); $\delta_{\rm H}(500~{\rm MHz},{\rm CDCl_3})$ 1.94 (1 H, m, 2'-H), 2.48 (1 H, m, 2-H), 2.80 (1 H, m, 3-H), 3.03 (1 H, m, 3'-H), 5.18 (1 H, m, 1-H), 7.26 (1 H, m, Ar-H), 7.36 (2 H, m, Ar-H).

6-Bromoindan-1-ol. White crystals (4.7 g, 88%); mp 85–86 °C (hexane) (lit., 37 84–86 °C); $\delta_{\rm H}(300$ MHz, CDCl₃) 1.94 (1 H, m, 2-H), 2.49 (1 H, m, 2'-H), 2.75 (1 H, m, 3-H), 2.98 (1 H, m,

3′-H), 5.20 (1 H, d, $J_{1,2}$ 5.2, 1-H), 7.11 (1 H, d, $J_{4,5}$ 8.0, 4-H), 7.35 (1 H, dd, $J_{5,4}$ 8.0, $J_{5,7}$ 1.8, 5-H), 7.52 (1 H, d, $J_{7,5}$ 1.8, 7-H).

Synthesis of 5-bromoindene 16 and 6-bromoindene 17

A solution of 5-bromoindan-1-ol or 6-bromoindan-1-ol (4.3 g, 20 mmol) and p-toluenesulfonic acid (ca. 0.075 g) in benzene (150 cm³) was refluxed (2 h) and the resulting water continuously removed from the reaction mixture with a Dean–Stark trap. The cooled benzene solution was washed with water, dried (MgSO₄) and concentrated under reduced pressure; purification of the residue by flash chromatography (hexane) yielded pure 5-bromoindene 16 or 6-bromoindene 17.

5-Bromoindene 16. Colourless crystals (3.43 g, 87% yield), mp 39–40 °C (hexane) (lit., 38 mp 41 °C); $\delta_{\rm H}(500$ MHz, CDCl₃) 3.35 (2 H, dd, $J_{1,2}$ 2.0, $J_{1,3}$ 1.9, 1-H), 6.59 (1 H, dt, $J_{2,1}$ 2.0, $J_{2,3}$ 5.6, 2-H), 6.81(1 H, dt, $J_{3,2}$ 5.6, $J_{3,1}$ 1.9, 3-H), 7.29–7.33 (2 H, m, Ar-H), 7.52 (1 H, d, $J_{4,5}$ 1.4, Ar-H).

6-Bromoindene 17. An oil (3.62 g, 92% yield), bp 75 °C/1 mm Hg (lit., 39 bp 50 °C/0.1 mm Hg) (Found: M^+ , 193.9733, C_9H_7Br requires M^+ 193.9731); $\delta_H(300 \text{ MHz}, \text{CDCl}_3)$ 3.38 (2 H, d, $J_{1,2}$ 2.0, 1-H), 6.54 (1 H, dt, $J_{1,2}$ 2.0, $J_{2,3}$ 5.5, 2-H), 6.83 (1 H, m, 3-H), 7.26 (1 H, d, $J_{4,5}$ 8.1, 4-H), 7.41 (1 H, d, $J_{5,4}$ 8.1, 5-H), 7.61 (1 H, s, 7-H).

Synthesis of chromane 30

p-Toluenesulfonic acid (*ca.* 0.030 g) and hydroquinone (*ca.* 0.05 g) were added to a solution of racemic chroman-4-ol **31** (8.0 g, 53 mmol) in benzene (150 cm³). The reaction mixture was heated under reflux using a Dean–Stark trap (2 h), washed with water, dried (Na₂SO₄) and concentrated under reduced pressure to give chrom-3-ene (5.1 g, 74%), bp 30 °C/0.2 mm Hg (lit., 40 51–53 °C/1.2 mm Hg); $δ_{\rm H}$ (300 MHz, CDCl₃) 4.81 (2 H, m, 2-H), 5.76 (1 H, m, 3-H), 6.41 (1 H, d, $J_{4,3}$ 9.6, 4-H), 6.76 (1 H, d, $J_{8,7}$ 8.0, 8-H), 6.85 (1 H, dd, $J_{6,7}$ 8.6, $J_{6,5}$ 7.4, 6-H), 6.95 (1 H, dd, $J_{5,6}$ 7.4, $J_{5,7}$ 1.5, 5-H), 7.09 (1 H, m, 7-H).

A solution of chrom-3-ene (1.06 g, 8.03 mmol) in CHCl₃ (35 cm³) was stirred (12 h) in the presence of Pd/C (10%, 0.10 g) under an atmosphere of hydrogen at ambient temperature and pressure. The catalyst was filtered off and the filtrate concentrated to give the crude hydrogenated product 30. Distillation under reduced pressure yielded chromane 30 (0.70 g, 67%), bp 50–51 °C/0.1 mm Hg (lit., 41 97–98 °C/19 mm Hg); $\delta_{\rm H}$ (300 MHz, CDCl₃) 1.95 (2 H, m, 3-H), 2.74 (2 H, t, $J_{4,3}$ 6.6, 4-H), 4.13 (2 H, t, $J_{2,3}$ 5.1, 2-H), 6.76 (2 H, m, 6-H and 8-H), 7.00 (2 H, m, 5-H and 7-H).

(±)-[4-²H]-Chroman-3-ol 35_D. To a solution of racemic epoxide 34 (0.75 g, 5.1 mmol) in dry Et₂O (15 cm³) was added lithium aluminium deuteride (0.54 g, 13 mmol, 98 atom % ²H) and the reaction mixture stirred (18 h) at room temperature. The reaction was quenched by the dropwise addition of water. Filtration and concentration of the dried (Na₂SO₄) ether solution yielded (±)-[4-²H]-chroman-3-ol 35_D (0.70 g, 91%), mp 78–79 °C (from Et₂O–hexane); $\delta_{\rm H}$ (300 MHz, CDCl₃) 2.09 (1 H, br s, OH), 2.77 (1 H, br s, 4-H), 4.08 (2 H, m, 2-H), 4.23 (1 H, m, 3-H), 6.87 (2 H, m, 6-H and 8-H), 7.09 (2 H, m, 5-H and 7-H). The deuterium incorporation was estimated to be ≥ 98% at C-4 by ¹H-NMR spectral analysis.

(-)-(3*S*,4*R*)-[4-²H]-Chroman-3-ol 35_D. Reductive ring opening of the (-)-(3*R*,4*S*)-epoxide 34 (1.34 g, 9.1 mmol, $[a]_D$ -71) with lithium aluminium deuteride (0.95 g, 22.7 mmol) yielded (-)-(3*S*,4*R*)-[4-²H]-chroman-3-ol 35_D (1.26 g, 92%), mp 95–96 °C (from Et₂O–hexane); $[a]_D$ -20.6 (*c* 2.0, CHCl₃).

(+)-(3R,4S)-[4-2H]-Chroman-3-ol 35_p. Similar treatment of the (+)-(3S,4R)-enantiomer of epoxide 34 (1.09 g, 7.4 mmol)

gave (+)-(3R,4S)-[4- 2 H]-chroman-3-ol 35 $_D$ (1.04 g, 94%), mp 95–96 °C; [a] $_D$ +21.5 (c 2.1, CHCl $_3$). Enantiomers of alcohol 35 $_D$ were spectrally indistinguishable from the racemic sample. The deuterium incorporation was found to be > 98% (by 1 H-NMR) in both the enantiomers.

(±)-[4-²H]-3-(*p*-Toluenesulfonyloxy)chromane 36_D. *p*-Toluenesulfonyloxy chloride (1.17 g, 6.1 mmol) was added to a cooled solution of [4-²H]-3-chromanol 35_D (0.62 g, 4.1 mmol) in dry pyridine (4 cm³); the reaction mixture was stirred (24 h). Pyridine was removed (reduced pressure), water (10 cm³) was added to the residue and the reaction mixture extracted with CH₂Cl₂ (2 × 30 cm³). The extract was dried (Na₂SO₄) and concentrated under reduced pressure to yield (±)-[4-²H]-3-(*p*-toluenesulfonyloxy)chromane 36_D (0.94 g, 75%), mp 91–92 °C (from Et₂O-hexane) (Found: C, 62.9; H, 5.2. C₁₆H₁₅DO₄S requires C, 63.0; H, 5.6%); $\delta_{\rm H}$ (500 MHz, CDCl₃) 2.46 (3 H, s, Me), 2.95 (1 H, br s, 4-H), 4.10 (2 H, m, 2-H), 4.95 (1 H, m, 3-H), 6.81 (1 H, d, $J_{8,7}$ 7.9, 8-H), 6.87 (1 H, m, 6-H), 6.96 (1 H, d, $J_{5,6}$ 7.1, 5-H), 7.11 (1 H, m, 7-H), 7.36 (2 H, d, $J_{8.0}$, Ar-H), 7.81 (2 H, d, $J_{8.0}$, Ar-H).

(+)-(3S,4R)-[4- 2 H]-3-(p-Toluenesulfonyloxy)chromane 36 $_{\rm D}$. (-)-(3S,4R)-[4- 2 H]-3-chromanol 35 $_{\rm D}$ (1.30 g, 8.6 mmol) when reacted with p-toluenesulfonyl chloride gave (+)-(3S,4R)-[4- 2 H]-3-(p-toluenesulfonyloxy)chromane 36 $_{\rm D}$ (2.00 g, 76%), mp 94–95 °C, [a] $_{\rm D}$ + 6.4 \pm 0.5 (c 1.65, CHCl $_{\rm 3}$).

(-)-(3R,4S)-[4- 2 H]-3-(p-Toluenesulfonyloxy)chromane 36 $_{D}$. (+)-(3R,4S)-[4- 2 H]-3-chromanol 35 $_{D}$ (1.06 g, 7.0 mmol) on reaction with p-toluenesulfonyl chloride gave (-)-(3R,4S)-[4- 2 H]-3-(p-toluenesulfonyloxy)chromane 36 $_{D}$, mp 94–95 °C; [a]_D -6.0 \pm 0.5 (c 1.44, CHCl₃).

(±)-[4- 2 H]-Chromane 30_D. Lithium aluminium hydride (0.60 g, 15.8 mmol) was added to a solution of racemic [4-2H]-3-(p-toluenesulfonyloxy)chromane 36_p (0.66 g, 2.2 mmol) in dry THF (10 cm³) and the reaction mixture heated (24 h) under reflux. The cooled reaction mixture was filtered after decomposition of the complex by addition of water (1.5 cm³). The filtrate, combined with the Et₂O washings, was dried (Na₂SO₄), concentrated under reduced pressure and the residue purified by flash column chromatography on silica gel (Et₂O-hexane) followed by distillation under reduced pressure to yield (±)-[4- 2 H]-chromane 30_{D} (0.21 g, 72%), bp 80 $^{\circ}$ C/0.02 mm Hg (Found: M^{+} , 135.0794. C_9H_9DO requires 135.0794); δ_H (300 MHz, CDCl₃) 2.01 (2 H, m, 3-H), 2.77 (1 H, br s, 4-H), 4.19 (2 H, m, 2-H), 6.81 (2 H, m, 6-H and 8-H), 7.05 (2 H, m, 5-H and 7-H); m/z (%) 136 (M + 1⁺, 18), 135 (M⁺, 100), 134 (23), 120 (11), 107 (18), 79 (28). The deuterium atom incorporation (\geq 98%) at C-4 was determined by ¹H- and ²H-NMR spectral analyses.

(*–*)-(4*S*)-[4-²H]-Chromane 30_D. (+)-(3*S*,4*R*)-[4-²H]-3-(*p*-Toluenesulfonyloxy)chromane 36_D (1.95 g, 6.4 mmol, $[a]_D$ + 6.4) was treated with excess lithium aluminium hydride to yield (4*S*)-[4-²H]-chromane 30_D (0.64 g, 74%), bp 80 °C/0.02 mm Hg; $[a]_D$ – 1.7 (*c* 0.32, CHCl₃).

(+)-(4*R*)-[4-²H]-Chromane 30_D. (-)-(3*R*,4*S*)-[4-²H]-3-(*p*-Toluenesulfonyloxy)chromane 36_D (1.45 g, 4.8 mmol, $[a]_D$ -6.0) afforded (4*R*)-[4-²H]-chromane 30_D (0.50 g, 78%), $[a]_D$ +1.0 (*c* 0.54, CHCl₃). (-)-(4*S*)- and (+)-(4*R*)-enantiomers of [4-²H]-chromane 30_D had identical ¹H-NMR spectra (\geq 98% ²H) and mirror image CD spectra.

Biotransformation of [3-2H]-indene 8_D

Biotransformation (*P. putida* UV4, 8 h) of [3^{-2} H]-indene 8_D (0.47 g, 4.1 mmol) and extraction (EtOAc) of the centrifuged culture medium yielded three products. PLC (40% Et₂O in

hexane) separation gave (-)-[1- 2 H]-(1S,2R)-1,2-dihydroxy-indane 13 $_D$ (R_f 0.1), [1- 2 H]- and [3- 2 H]-(1R)-inden-1-ol 14 $_D$ /14' $_D$ (R_f 0.5) and 1-indanone 15, identified by comparison with an authentic sample (0.02 g, 4%, R_f 0.8).

(-)-[1-2H]-(1S,2R)-1,2-Dihydroxyindane 13_D. Colourless crystals (0.11 g, 18%), mp 95–96 °C (from CHCl₃–hexane) (lit.,⁵ mp 95–96 °C); [a]_D –21 (c 0.4, CHCl₃); δ _H (500 MHz; CDCl₃) 2.95 (1H, dd, J_{3,2} 3.7, J_{3,3'} 16.3, 3-H), 3.12 (1H, dd, J_{3',2} 5.7, J_{3',3} 16.3, 3'-H), 4.42 (1H, dd, J_{2,3} 3.7, J_{2,3'} 5.7, 2-H), 7.21–7.28 (3H, m, Ar-H) and 7.39–7.41 (1H, m, Ar-H); ee (ca. 38%) by CSPHPLC analysis (Chiralcel OD column).

[1-²H]- and [3-²H]-(1R)-Inden-1-ol 14 $_D$ /14 $'_D$. Colourless needles (0.045 g, 8%), unstable sample, mp 83–84 °C (from ether–hexane) (Found: C, 81.5; H, 6.5. C $_9$ H $_7$ DO requires C 81.2; H, 6.8%); [a] $_D$ -70 (c 0.4, CHCl $_3$) (lit., ²⁴ [a] $_D$ -178 but found to increase on purification to [a] $_D$ -249); δ_H (500 MHz; CDCl $_3$) 5.21 (1H, 43% D, br s, 1-H), 6.42 (1H, dd, $J_{2,1}$ 1.8, $J_{2,3}$ 5.6, 2-H), 6.75 (1H, 57% D, d, $J_{3,2}$ 5.6, 3-H), 7.24 (3H, m, Ar-H), 7.52 (1H, d, J 6.9, Ar-H); ee (ca. 28%) by CSPHPLC analysis (Chiralcel OB column).

Biotransformation of 5-bromoindene 16

Biotransformation (*P. putida* UV4, 7 h) of 5-bromoindene **16** (0.150 g, 0.77 mmol) and extraction (EtOAc) of the centrifuged culture medium yielded two products; these on separation by PLC (60% Et₂O in pentane) gave 6-bromoindan-1-one **23** (0.007 g, 4%, R_f 0.5) and (1R,2S)-5-bromoindane-1,2-diol **18** (R_f 0.1).

6-Bromoindan-1-one 23. It was spectrally identical to a sample obtained from the biotransformation of 6-bromoindene

(1*R*,2*S*)-5-Bromo-1,2-dihydroxyindane 18. White crystals (0.07 g, 42%), mp 160–161 °C (from CH₂Cl₂); [a]_D + 54.1 (c 0.77, MeOH) (Found: C, 46.8; H, 3.7. C₉H₉BrO₂ requires C 46.8; H, 3.9%); δ_H(500 MHz, CDCl₃) 2.30 (1 H, d, $J_{OH,1}$ 5.2, OH), 2.54 (1 H, d, $J_{OH,2}$ 7.2, OH), 2.91(1 H, dd, $J_{3,2}$ 3.1, $J_{3,3}$ 16.4, 3-H), 3.06 (1 H, dd, $J_{3,2}$ 5.6, $J_{3,3}$ 16.4, 3'-H), 4.54 (1 H, m, 2-H), 5.02 (1 H, dd, $J_{1,2}$ 6.1, $J_{1,OH}$ 5.2, 1-H), 7.12 (1 H, d, $J_{4,5}$ 8.0, 4-H), 7.40 (1 H, m, $J_{5,4}$ 8.0, 5-H), 7.57 (1 H, s, 7-H); electronic CD data: λ 226 nm (Δε –2.571), 203 nm (Δε 13.58); ee (ca 46%) by ¹H-NMR analysis of the MEBBA derivative.

Biotransformation of 6-bromoindene 17

Biotransformation (*P. putida* UV4, 7 h) of 6-bromoindene 17 (0.200 g, 1 mmol) and extraction (EtOAc) of the centrifuged culture medium yielded two products; separation by PLC (30% Et₂O in hexane) gave the less polar ($R_{\rm f}$ 0.45) bioproduct, 6-bromoindan-1-one 23 (0.061 g, 28%) which was identified by spectral comparison with an authentic sample. The second, more polar ($R_{\rm f}$ 0.35) product was found to be (1*S*)-6-bromoinden-1-ol 22.

(+)-(1*S*)-6-Bromoinden-1-ol 22. Colourless crystals (0.035 g, 16%), mp 56 °C (hexane) (Found: C, 51.1; H, 3.4. C₉H₇BrO requires C, 51.2; H, 3.3%); $[a]_D$ + 185.3 (c 0.8, CHCl₃); δ_H (300 MHz, CDCl₃) 1.72 (1 H, d, $J_{\rm OH,1}$ 8.9, OH), 5.16 (1 H, m, $J_{\rm 1,OH}$ 7.4, 1-H), 6.39 (1 H, dd, $J_{\rm 2,1}$ 1.6, $J_{\rm 2,3}$ 5.6, 2-H), 6.68 (1 H, d, $J_{\rm 3,2}$ 5.6, 3-H), 7.09 (1 H, d, $J_{\rm 4,5}$ 7.9, 4-H), 7.40 (1 H, dd, $J_{\rm 5,4}$ 7.9, $J_{\rm 5,7}$ 1.4, 5-H), 7.64 (1 H, d, $J_{\rm 7,5}$ 1.4, 7-H); electronic CD data: λ 270 nm ($\Delta\varepsilon$ 0.928), 230 nm ($\Delta\varepsilon$ -1.796), 204 nm ($\Delta\varepsilon$ 7.779), 198 nm ($\Delta\varepsilon$ 7.298); > 98% ee by CSPHPLC analysis (Chiralcel OB column).

Biotransformation of chromane 30

Biotransformation (*P. putida* UV4) of chromane **30** (0.150 g, 1.12 mmol) and extraction (EtOAc) of the centrifuged culture

medium yielded a mixture of two bioproducts; these on separation by PLC (50% Et₂O in pentane) afforded (+)-(4R)-chroman-4-ol 31 (R_f 0.28) and chroman-4-one 32 (R_f 0.5).

(+)-(4*R*)-Chroman-4-ol 31. Colourless crystals (0.022 g, 13%), mp 68–70 °C (from Et₂O–pentane) (lit., 40 73–75 °C); [a]_D + 63 (c 0.38, EtOH) (lit., 41 + 67.0); δ_H (300 MHz, CDCl₃) 1.96–2.08 (2 H, m, 3-H), 2.18 (1 H, br s, OH), 4.22 (2 H, m, 2-H), 4.73 (1 H, m, 4-H), 6.81–6.93 (2 H, m, 6-H and 8-H), 7.16–7.29 (2 H, m, 5-H and 7-H); m/z (M $^+$ 150, 100), 149 (59); ee (ca. 95%) by CSPHPLC analysis (Chiralcel OB column).

Chroman-4-one 32

Viscous oil (0.008 g, 5%) (lit., 40 bp 78–80 °C at 0.3 mm Hg); $\delta_{\rm H}$ (300 MHz, CDCl₃) 2.81 (2 H, t, $J_{3,2}$, 3-H), 4.54 (2 H, t, $J_{2,3}$ 6.6, 2-H), 7.00 (2 H, m, 6-H and 8-H), 7.47 (1 H, m, 7-H), 7.90 (1 H, dd, $J_{5,6}$ 7.9, $J_{5,7}$ 1.7, 5-H); m/z (M⁺ 148, 70), 120 (100), 92 (63), 63 (19).

Biotransformation of (±)-[4-2H]-chromane 30_D

Biotransformation (*P. putida* UV4) of racemic deuterium-labelled chromane $\bf 30_D$ (0.050 g, 0.37 mmol, \geq 98 atom % $^2\rm H)$ and isolation of metabolites was carried out in a similar manner as for substrate $\bf 30$; (+)-(4*R*)-chroman-4-ol $\bf 31/31_D$ (0.006 g, 11%) and chroman-4-one $\bf 32$ (0.004 g, 7%) were obtained. $^1\rm H\textsc{-}NMR$ spectral analysis indicated that the ratio of non-deuteriated alcohol $\bf 31$ to deuteriated alcohol $\bf 31_D$ was $\bf 37:63$; ee \geq 98% by CSPHPLC analysis.

Biotransformation of (-)-(4S)- $[4-^2H]$ -chromane 30_D

Biotransformation (*P. putida* UV4) of (-)-(4*S*)-[4-²H]-chromane 30_D (0.050 g, 0.37 mmol, \geq 98 atom % ²H) yielded [4-²H]-chroman-4-ol 31_D (0.08 g, 14%) and chroman-4-one 32 (0.005 g, 9%). Metabolite 31_D was shown to be deuteriated at C-4 (*ca.* 98%) by ¹H-NMR spectral analysis. ¹H- and ²H-NMR spectral analysis confirmed that deuterium was located at C-4; ee > 98% by CSPHPLC analysis.

Biotransformation of (+)-(4R)- $[4-^2H]$ -chromane 30_D

Biotransformation (*P. putida* UV4) of (+)-(4*R*)-[4-²H]-chromane 30_D (0.05 g, 0.37 mmol, \geq 98 atom % 2 H) yielded chroman-4-ol 31 (0.008 g, 14%) and chroman-4-one 32 (0.005 g, 9%). Chroman-4-ol 31 was found to be essentially non-deuteriated (*ca.* 1% deuterium at C-4) by 1 H-NMR spectral analysis; ee >98% by CSPHPLC analysis.

Biotransformation of (±)-[4-2H]-chromane 30_D

Biotransformation (*P. putida* 9816/11, 18 h) of the racemic deuterium-labelled chromane $\bf 30_D$ (0.20 g, 1.48 mmol, \geq 98% 2 H) was carried out according to the reported method. EtOAc extraction (2 × 30 cm³) of the centrifuged culture medium yielded a mixture of bioproducts. GC-MS analysis, using the earlier specified conditions, showed the following bioproducts: substrate $\bf 30_D$ (8.6 min), chroman-4-one $\bf 32$ (10.5 min, 53%), (–)-(4*S*)-chroman-4-ol $\bf 31/31_D$ (10.8 min, 40%) and *cis*-3,4-dihydroxychromane $\bf 40/40_D$ (12.6 min, 7%). Bioproducts $\bf 31/31_D$ (0.03 g, 13% isolated yield) and $\bf 32_D$ (0.035 g, 16% isolated yield) were separated and purified by PLC (40% Et₂O in hexane). Chromanol $\bf 31/31_D$, [*a*]_D –16.0 (*c* 1.0, EtOH) was found to have an ee of *ca*. 24% by CSPHPLC analysis. The *cis*-3,4-dihydroxychromane $\bf 40/40_D$ was not isolated.

Biotransformation of (-)-(4S)- $[4-^2H]$ - and (+)-(4R)- $[4-^2H]$ -chromane 30_D using purified TDO and NDO enzymes

Biotransformations of (-)-(4S)-[4- $^2H]$ - and (+)-(4R)-[4- $^2H]$ -chromane 30_D with purified TDO and NDO enzymes were carried out by the same procedure as was used for the

sulfoxidation of sulfide 14 and benzylic hydroxylation of indanone substrates.²⁸ Biotransformations on a medium scale were conducted in flasks (250 cm³) which were shaken (150 rpm) in a water bath (28 °C). The reaction mixture in each flask used for TDO or NDO biotransformations contained 30 cm³ of 2-(4-morpholino)ethanesulfonic acid (50 mmol, pH 6.8), NADH (2 mmol), ferrous ammonium sulfate (0.1 mmol), catalase (0.005 g) and the respective reductase (0.003 g), ferredoxin (0.0016 g) and ISP (0.00175 g) components of TDO and NDO. The quantities of substrates added to the pure enzyme preparations were (+)-(4R)- $[4-^2H]$ -chromane 30_p (0.004 g)and (-)-(4S)- $[4-^2H]$ -chromane 30_D (0.0067 g) respectively. The biotransformations were conducted over a 4 h period. The metabolites were recovered by extraction (EtOAc) and concentration under reduced pressure. The product mixtures were subjected to GC-MS analysis and the relative ratios of products obtained are shown in Table 1. Enantiopurity values of chroman-4-ol 31/31_D were determined, after purification (TLC), by CSPHPLC analysis (Chiralcel OJ column).

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